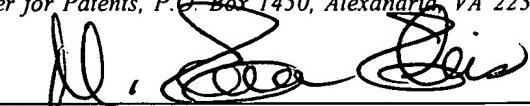


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2004.


M. Ena Ellis

Applicant : Craig L. Ogg, et al.
Application No. : 09/688,452
Filed : October 16, 2000
Title : ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC MODULE FOR
SECURE PROCESSING OF VALUE-BEARING ITEMS

Grp./Div. : 3621
Examiner : Firmin Backer
Docket No. : 40628/SAH/S850

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
April 12, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

Application No. 09/688,452

Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Matthew K. Maxwell
for Art Hasan
Reg. No. 41,057
626/795-9900

SAH/mee

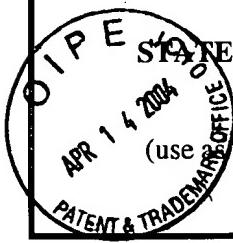
Enclosures: PTO/SB/08A/B, w/references
MEE PAS560359.1-* 04/12/04 3:23 PM

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)



Attorney Docket Number	40628/SAH/S850
Application Number	09/688,452
Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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		US 2001/0055388 A1	12-27-2001	Kaliski, Jr.

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EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.	

<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p>INFORMATION DISCLOSURE</p> <p>STATEMENT BY ATTORNEY OR AGENT</p> <p>(use as many sheets as necessary)</p>	Attorney Docket Number	40628/SAH/S850
	Application Number	09/688,452
	Filing Date	October 16, 2000
	Applicant(s)	Craig L. Ogg, et al.
	Group Art Unit	3621
	Examiner Name	Firmin Backer

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)
		WO 98/13790 A-1	04-02-1998	Brookner, et al.	
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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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